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Estimates in respect of special political missions, good offices and other political initiatives authorized by the General Assembly and/or the Security Council

Thematic cluster II: sanctions monitoring teams, groups and panels, and other entities and mechanisms

Panel of Experts on Somalia

Report of the Secretary-General

Summary

The present report contains the proposed resource requirements for the Panel of Experts on Somalia, amounting to $1,930,300 (net of staff assessment), for the period from 14 November 2018 to 31 December 2019.

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I. Overview, planning assumptions and resource requirements

($1,867,600)

Background, mandate and objective

1. On 14 November 2018, the Security Council, by its resolution 2444 (2018), decided to establish the Panel of Experts on Somalia. In paragraph 12 of the same resolution, the Council requested the Secretary-General to establish the Panel, consisting of six members and to be based in Nairobi, until 15 December 2019.

2. The mandate of the Panel of Experts shall include the tasks set out in paragraph 13 of resolution 2060 (2012) and updated in paragraph 41 of resolution 2093 (2013), paragraph 15 of resolution 2182 (2014), paragraph 23 of resolution 2036 (2012) and paragraph 29 of resolution 2444 (2018) as they relate to Somalia, as follows:

(a) To monitor and investigate the implementation of the measures imposed on Somalia (arms embargo, travel ban, asset freeze and charcoal ban);

(b) To assess actions taken by Somali authorities, as well as Member States, in particular those in the region, to fully implement the arms embargo regime;

(c) To make specific recommendations based on detailed information in relevant areas of expertise related to violations and measures to give effect to and strengthen the implementation of the arms embargo in its various aspects;

(d) To investigate, in coordination with relevant international agencies, all activities, including in the financial, maritime and other sectors, that generate revenues used to commit violations of the Somalia arms embargo;

(e) To investigate any means of transport, routes, seaports, airports and other facilities used in connection with violations of the Somalia arms embargo;

(f) To assist the Security Council Committee pursuant to resolution 751 (1992) concerning Somalia (hereafter "the Committee") in compiling narrative summaries of reasons for listing individuals and entities designated by the Committee;

(g) To compile, refine and update information on the draft list of those individuals and entities that potentially meet the listing criteria for possible future measures by the Security Council;

(h) To investigate any seaport operations in Somalia that may generate revenue for Al-Shabaab;

(i) To work closely with the Committee on specific recommendations for additional measures to improve overall compliance with the sanctions measures;

(j) To assist in identifying areas where the capacities of States in the region can be strengthened to facilitate the implementation of the sanctions measures;

(k) To report on and provide the Committee with an assessment of the progress made by the Federal Government of Somalia to put the infrastructure in place to ensure the safe storage, registration, maintenance and distribution of military equipment by its security forces, as well as the progress made by the Government in establishing the procedures and codes of conduct for the registration, distribution, use and storage of weapons by its security forces, and on training needs;

(l) To provide an assessment of any misappropriation or sale of arms and ammunition and military equipment to other groups, including militias, in order to assist the Security Council in any review of the appropriateness of the modified arms embargo on Somalia;

(m) To continue the investigations started by the Somalia and Eritrea Monitoring Group related to the export to Somalia of chemicals that may be used as oxidizers in the manufacture of improvised explosive devices;

(n) To report on the implementation of maritime interdiction of charcoal and arms;

(o) To report on its own ability to monitor the delivery of weapons, military equipment and assistance to Somalia;

(p) To provide monthly updates to the Committee, a comprehensive midterm update to the Committee and a final report, through the Committee, for the consideration of the Security Council.

Cooperation with other entities

3. In carrying out its mandate, the Panel of Experts on Somalia is expected to cooperate with other sanctions teams, groups and panels established by the Security Council as mandated and appropriate. The Panel is expected to provide assistance to the Federal Government of Somalia and will cooperate with the authorities in Puntland and Somaliland.

4. The Panel of Experts will work closely with the Special Representative of the Secretary-General for Somalia and Head of the United Nations Assistance Mission in Somalia and other United Nations agencies, in particular those that address protection and humanitarian issues.

5. The Panel of Experts will collaborate with regional and subregional organizations, such as the African Union, the African Union Mission in Somalia, the Combined Maritime Forces, the European Union, the Intergovernmental Authority on Development, the International Civil Aviation Organization, the International Criminal Police Organization, the International Maritime Organization, the League of Arab States, the North Atlantic Treaty Organization, the United Nations Office on Drugs and Crime and the World Customs Organization. Furthermore, the Panel will interact with a wide range of government officials and representatives of the private sector and non-governmental organizations on issues related to its mandate.

6. The Department of Political and Peacebuilding Affairs will be responsible for providing support to the Panel of Experts. The Panel will also benefit from support provided by the United Nations Support Office in Somalia and the United Nations Office at Nairobi. The Department of Safety and Security will provide advice to the Panel on safety and security issues.

Planning assumptions for 2019

7. In 2019, the Panel of Experts will monitor the sanctions measures in relation to Somalia and investigate violations thereof. It will conduct extensive fieldwork in Somalia and is expected to travel extensively in the region and beyond to meet with armed groups, governmental officials, the diplomatic community and international and non-governmental organizations. It will also travel to United Nations Headquarters to interact with the sanctions committee and other Member States.

8. The objective, expected accomplishments, indicators of achievement and performance measures of the Panel of Experts are set out in table 1.

Table 1
Objectives, expected accomplishments, indicators of achievement and performance measures

Objective of the Organization: To ensure the enforcement of the sanctions measures imposed by the Security Council in its resolution 2444 (2018)

Expected accomplishment	*Indicators of achievement*		*Performance measures* 2019	2018	2017	2016
(a) Enhanced capacity of the Security Council to take follow-up action on alleged violations	(i) Number of official communications from the Security Council Committee to States and other entities on alleged violations cited by the Panel of Experts	Target	5	–	–	–
		Estimate		–	–	–
		Actual			–	–
	(ii) Number of bilateral meetings between the Chair of the Committee and concerned States and organizations to follow up on the reports of the Panel of Experts	Target	3	–	–	–
		Estimate		–	–	–
		Actual			–	–

Outputs

- Reports to the Security Council (1)
- Updates to the Committee on the activities of the Panel (13)
- Investigative reports on violations of the sanctions regime (1)

Expected accomplishment	*Indicators of achievement*		*Performance measures* 2019	2018	2017	2016
(b) Enhanced capacity of the Security Council to adjust the sanctions regime	(i) Number of recommendations made by the Panel of Experts agreed upon by the Committee	Target	5	–	–	–
		Estimate		–	–	–
		Actual		–	–	–
	(ii) Number of recommendations of the Panel of Experts incorporated by the Security Council into subsequent resolutions	Target	2	–	–	–
		Estimate		–	–	–
		Actual		–	–	–
	(iii) Number of amendments to entries on the Committee's sanctions lists	Target	2	–	–	–
		Estimate		–	–	–
		Actual			–	–

Outputs

- Recommendations on adjustments to the sanctions regime (2)
- Recommendations concerning new individuals and entities to be listed or updates to the existing sanctions lists (2)

Expected accomplishment	*Indicators of achievement*		*Performance measures* 2019	2018	2017	2016
(c) Improved compliance by States and other entities with the sanctions measures	(i) Number of communications from States and other entities providing information on compliance-related issues	Target	60	–	–	–
		Estimate		–	–	–
		Actual			–	–
	(ii) Number of communications from States and other entities seeking advice on how to comply with the measures	Target	1	–	–	–
		Estimate		–	–	–
		Actual			–	–

Outputs

- Communications to States and other entities requesting action or updates relating to compliance with the sanctions measures (30)

External factors

9. The objective would be achieved on the assumption that States comply with the resolutions of the Security Council and cooperate with the Panel of Experts, and provided that the Panel's investigations are not hindered.

Resource requirements (regular budget)

Table 2
Financial resources

(Thousands of United States dollars)

		2019		
Category of expenditure	Estimated expenditure, 14 November–31 December 2018 (1)	Total requirements (2)	Non-recurrent requirements (3)	Net requirements (4)=(1)+(2)
Civilian personnel costs	15.3	403.4	–	418.7
Operational costs	47.4	1 464.2	–	1 511.6
Total (net of staff assessment)	**62.7**[a]	**1 867.6**[b]	**–**	**1 930.3**

[a] Funded under the authority granted to the Secretary-General under paragraph 1 (a) of General Assembly resolution 72/264 relating to unforeseen and extraordinary expenses.

[b] Including an amount of $474,500 for the period from 1 January to 31 March 2019 funded under the authority granted to the Secretary-General under paragraph 1 (a) of General Assembly resolution 72/264.

Table 3
Positions

	Professional and higher categories									General Service and related categories			National staff			
	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	Subtotal	Field Security Services	General Service	Total international	National Officer	Local level	United Nations Volunteers	Total
Approved 2018	–	–	–	–	–	–	–	–	–	–	–	–	–	–	–	–
Proposed 2019	–	–	–	–	–	–	1	–	1	–	1	2	–	4	–	6
Change	**–**	**–**	**–**	**–**	**–**	**–**	**1**	**–**	**1**	**–**	**1**	**2**	**–**	**4**	**–**	**6**

10. As indicated in table 2, the requirements of the Panel of Experts for the period from 14 November to 31 December 2018 in the amount of $62,700 and for the period from 1 January to 31 March 2019 in the amount of $474,500, for six positions and operational costs, are being funded under the authority granted to the Secretary-General under paragraph 1 (a) of General Assembly resolution 72/264 relating to unforeseen and extraordinary expenses for the biennium 2018–2019 and for which appropriation is sought through the present report.

11. As indicated in table 3, it is proposed that six positions be established in the Panel of Experts on Somalia for 2019. The six positions proposed are being drawn from the expertise of the members of the Somalia and Eritrea Monitoring Group, as indicated by the Security Council in paragraph 12 of its resolution 2444 (2018), of which two (1 P-3 and 1 General Service (Other level)) would be based at Headquarters and four (Local level) in Nairobi.

12. The estimated requirements for the Panel of Experts on Somalia for 2019 amount to $1,867,600 (net of staff assessment) and provide for civilian personnel costs ($355,100) for six positions (1 P-3, 1 General Service (Other level) and 4 Local level) to provide substantive and administrative support to the experts on the Panel; general temporary assistance ($48,300), covering security services and security escorts for the experts; and operational costs ($1,464,200), comprising requirements for the fees payable to the six experts ($980,200), official travel for the six members of the Panel ($260,800) and staff ($18,100) and other operational and logistical support ($205,100), such as charges for the rental of premises and vehicles, communications, information technology equipment and maintenance and miscellaneous supplies and services.

Extrabudgetary resources

13. No extrabudgetary resources are projected for 2019 for the Panel of Experts on Somalia.

II. Action requested of the General Assembly

14. **The General Assembly is requested:**

(a) **To approve resources in the amount of $1,930,300 (net of staff assessment) for the Panel of Experts on Somalia for the period from 14 November 2018 to 31 December 2019;**

(b) **To appropriate, under the procedures provided for in paragraph 11 of annex I to resolution 41/213, an additional amount of $1,930,300 (net of staff assessment) under section 3, Political affairs, of the programme budget for the biennium 2018–2019;**

(c) **To appropriate an amount of $62,700 under section 36, Staff assessment, to be offset by a corresponding amount under income section 1, Income from staff assessment, of the programme budget for the biennium 2018–2019.**